Electronic Delivery

WFA PCL COLLATERAL ACCOUNT
SANDEEP SARKAR
2760 PEMBERLY AVENEUE
HIGHLAND RANCH CO 80126

SNAPSHOT [redacted]

Current period ending September 30, 2020

ACCOUNT NAME: WFA PCL COLLATERAL ACCOUNT
SANDEEP SARKAR

ACCOUNT NUMBER: 7333-8189

Your Financial Advisor:
ROBERT ROTHE
Phone: 303-792-7055 / 866-281-7436

9350 EAST ARAPAHOE ROAD
SUITE 401
GREENWOOD VILLAGE, CO 80112

If you have more than one account with us, why not link them and receive summary information for your entire household? Contact Your Financial Advisor for more details.

Message from Wells Fargo Advisors

2020 HAS BEEN NO ORDINARY YEAR. WITH UNPRECEDENTED ECONOMIC, POLITICAL, AND GLOBAL UNCERTAINTY, YEAR-END GUIDANCE HAS NEVER BEEN MORE CRITICAL. CONTACT YOUR FINANCIAL ADVISOR TO DISCUSS YOUR INVESTMENT SITUATION AND WHAT YOU MAY BE ABLE TO DO NOW TO HELP YOU SAVE AT TAX TIME.

General instructions and disclosures

About this statement

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Trade date statement and trade details: All activity and positions on this statement are shown as of the date a trade is entered on the brokerage trading system (i.e., the trade date). Proceeds from the sale of securities and costs for the purchase of securities are not transacted through your account until the actual settlement date of the trade. The time of the transactions, the name of the buyer or seller, and the source and amount of any commission or fee will be furnished upon written request.

Pricing of securities: Securities prices on your statement may vary from actual liquidation value. Prices are provided by outside quotation services which we believe are reliable but due to the nature of market data the accuracy cannot be guaranteed. In the absence of such pricing, prices are estimated by Wells Fargo Advisors using available information and its judgment. Such estimates may not reflect actual trades and do not reflect a commitment by the firm to buy or sell at those prices. Securities listed on a national exchange are priced as of the close of the statement period. Unlisted shares may be valued at the current best published "bid-price", and, if none exists, the last reported transaction if occurring within the last 45 days. Prices of securities not actively traded may not be available and are indicated by "N/A." Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, if less actively traded, by utilizing a yield-based matrix system to arrive at an estimated market value. Listed options are priced based on the closing "bid-ask" prices and the last reported trade. Mutual fund shares are priced at net asset value. Shares of direct participation program (DPP) and real estate investment trust (REIT) securities that are not listed on a national exchange are generally illiquid. Because no trading market exists for these investments, their values are estimated. Unless otherwise indicated, the values shown for DPP and REIT securities have been provided by the management of each program and represent that management's estimate of the investor's interest in the net assets of the program. See statement sections for additional pricing information. Prices for hedge funds and certain managed futures funds are provided on a month delay basis. Other managed futures funds may be priced more frequently. Long-term certificates of deposit (maturity beyond one year from date of issue) are priced using a market value pricing model. The sale or redemption price of your securities may be higher or lower than the prices shown on your statement. For an actual quote, contact the individual servicing your account.

Estimated annual income/yield: Estimated Annual Income (EAI), when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield (EAY), when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. EAI and EAY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EAY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate. The information used to derive these estimates is obtained from various outside vendors; Wells Fargo Advisors is not responsible for incorrect or missing estimated annual income and yields. Past performance is not a guarantee of future results.

Income summary: The Income summary displays all income as recorded in the tax system as of period end date. The totals in the Cash flow snapshot may not match the totals in the Income snapshot due to reclassifications or other corrections made in the tax system. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year-end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. You should rely only on tax reporting documents. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

Texas designation: If you are a resident of Texas who has purchased mutual fund shares, you may designate a representative to receive notification to assist in avoiding escheatment of assets in your investment account to the State of Texas. The designated representative does not have any rights to your account. Please use the Texas Unclaimed Property link (**https://claimittexas.org/**) to access the Designation of Representative for Notice Request form which you may complete and return to us at **ATTN: H0006-08K, 1 N. Jefferson Ave, St. Louis, MO 63103** or return by email at **clientcontact@firstclearing.com**.

Tax reporting: We are required by federal law to report annually to you and to the Internal Revenue Service (IRS) on Form(s) 1099 interest income, dividend payments and sales proceeds including cost basis information for applicable transactions credited to your account.

About your rights and responsibilities

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Public disclosure: You may reach FINRA by calling the FINRA BrokerCheck Hotline at **(800) 289-9999** or by visiting the FINRA website at **www.finra.org.** An investor brochure that includes information describing FINRA BrokerCheck is available from FINRA upon request. A brochure describing the FINRA Pricing of Securities Regulation Public Disclosure Program is also available from the FINRA upon request.

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Option accounts: Pursuant to FINRA Rule 2360, option assignment notices are randomly allocated by an automated process amongst all client short option positions that are subject to exercise, including positions established on the day of assignment. Transaction confirmations that were previously furnished to you provides information on commissions and other charges related to your option transaction executions. Details of our random allocation procedures and copies of transaction confirmations are available upon request.

SNAPSHOT [redacted]

WFA PCL COLLATERAL ACCOUNT
SANDEEP SARKAR

SEPTEMBER 1, 2020 - SEPTEMBER 30, 2020
ACCOUNT NUMBER: 7333-8189

Progress summary

	THIS PERIOD	THIS YEAR
Opening value	**$31,590.87**	**$51,001.06**
Cash deposited	0.00	1,972.00
Securities deposited	0.00	0.00
Cash withdrawn	0.00	-18,825.21
Securities withdrawn	0.00	0.00
Change in value	-647.99	-3,204.97
Closing value	**$30,942.88**	**$30,942.88**

As a Wells Fargo Advisors client, you can upgrade your investment account to add Brokerage Cash Services at no additional cost. Brokerage Cash Services provides access to convenient money movement options including mobile deposit services. It also includes teller deposit services at Wells Fargo branch locations which are provided through a limited purpose Bank account. You'll have access to many more features and benefits to help you manage your finances. It's as simple as talking with Your Financial Advisor. Ask them today about Brokerage Cash Services.

Portfolio summary

	ASSET TYPE	PREVIOUS VALUE ON AUG 31	%	CURRENT VALUE ON SEP 30	%	ESTIMATED ANN. INCOME
ASSETS	Cash and sweep balances	0.00	0.00	0.00	0.00	0
	Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
	Fixed income securities	0.00	0.00	0.00	0.00	0
	Mutual funds	31,590.87	100.00	30,942.88	100.00	574
	Asset value	**$31,590.87**	**100%**	**$30,942.88**	**100%**	**$574**

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Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	**$0.00**	
Income and distributions	67.52	179.31
Securities sold and redeemed	0.00	34,484.16
Electronic funds transfers	0.00	1,972.00
Net additions to cash	**$67.52**	**$36,635.47**
Securities purchased	-67.52	-17,810.26
Advisory fees	0.00	-333.21
Other subtractions, transfers & charges	0.00	-18,492.00
Net subtractions from cash	**-$67.52**	**-$36,635.47**
Closing value of cash and sweep balances	**$0.00**	

Income summary *

		THIS PERIOD	THIS YEAR
TAXABLE	Money market/sweep funds	0.00	0.02
	Ordinary dividends and ST capital gains	67.52	171.29
	Long term capital gains	0.00	2.03
	Total taxable income	**$67.52**	**$173.34**
	Total federally tax-exempt income	**$0.00**	**$0.00**
	Total income	**$67.52**	**$173.34**

* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

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ACCOUNT NUMBER: 7333-8189

Your Financial Advisor

ROBERT ROTHE
Phone: 303-792-7055 / 866-281-7436

9350 EAST ARAPAHOE ROAD
SUITE 401
GREENWOOD VILLAGE, CO 80112

Account profile

Full account name:	WFA PCL COLLATERAL ACCOUNT SANDEEP SARKAR
Account type:	Standard Brokerage
Brokerage account number:	7333-8189
Collateral pledged for account number:^	XXXX-3561
Tax status:	Taxable
Investment objective/Risk tolerance:*	AGGRESSIVE GROWTH
Time horizon:*	LONG TERM (10+ YEARS)
Liquidity needs:*	NONE
Cost Basis Election:	First in, First out
Your advisory program:	FUNDSOURCE
Your manager:	GO ASSET ALLOCATOR

*For more information, please visit us at: www.wellsfargoadvisors.com/disclosures

^This account has been pledged as collateral.

Client service information

Client service:	866-281-7436
Website:	www.wellsfargoadvisors.com

For your consideration

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Document delivery status

Email Address: SANDEEPSARKAR01@GMAIL.COM

	Paper	**Electronic**
Statements:		X
Trade confirmations:		X
Tax documents:	X	
Shareholder communications:		X
Other documents:		X

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SEPTEMBER 1, 2020 - SEPTEMBER 30, 2020
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Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	0.00	34,484.16

Portfolio detail

Mutual Funds

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
FIRST EAGLE FUNDS FIRST EAGLE GLOBAL FUND CLASS I SGIIX	2.58500	56.9000	147.08	2	1.55
FORWARD FUNDS SALIENT TACTICAL GROWTH FUND INSTITUTIONAL CL I FTGWX	153.37900	27.9400	4,285.40	21	0.48
GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL FTIXX	189.43000	1.0000	189.43	0	0.02
INVESCO GLOBAL FUND CLASS Y OGLYX	26.98300	104.7200	2,825.65	14	0.48
JENSEN PORTFOLIO INC CLASS I SHS JENIX	50.83600	54.5400	2,772.59	35	1.25
JPMORGAN TR I GLOBAL ALLOCATION FD I CL GAOSX	339.53700	19.6500	6,671.90	137	2.05
LAZARD FUNDS INC US EQUITY CONCENTRATED PORT INSTL SHS LEVIX	179.77800	16.6600	2,995.10	25	0.83

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Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
WELLS FARGO GLOBAL SMALL CAP FUNDS INSTL CLASS EKGIX	72.75600	39.1900	2,851.30	53	1.84
PIMCO ALL ASSET FND INST CL PAAIX	394.27200	11.2200	4,423.73	169	3.81
WELLS FARGO ABSOLUTE RETURN FUND CLASS INST WABIX	363.18000	10.4100	3,780.70	120	3.16
Total Open End Mutual Funds			**$30,942.88**	**$574**	**1.86**
Total Mutual Funds			**$30,942.88**	**$574**	**1.86**

Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
09/01				BEGINNING BALANCE			0.00
09/11	Cash	DIVIDEND		PIMCO ALL ASSET FND INST CL 091020 389.05100 AS OF 9/10/20		59.15	
09/11	Cash	REINVEST DIV	5.22100	PIMCO ALL ASSET FND INST CL REINVEST AT 11.330		-59.15	0.00
09/21	Cash	DIVIDEND		JENSEN PORTFOLIO INC CLASS I SHS 091720 50.68100 AS OF 9/17/20		8.37	
09/21	Cash	REINVEST DIV	0.15500	JENSEN PORTFOLIO INC CLASS I SHS REINVEST AT 54.070		-8.37	0.00

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