WELLS FARGO ADVISORS

SNAPSHOT [redacted]

Current period ending August 31, 2020

ACCOUNT NAME: WFA PCL COLLATERAL ACCOUNT
SANDEEP SARKAR

ACCOUNT NUMBER: 7333-8189

Your Financial Advisor:
ROBERT ROTHE
Phone: 303-792-7055 / 866-281-7436

9350 EAST ARAPAHOE ROAD
SUITE 401
GREENWOOD VILLAGE, CO 80112

Electronic Delivery

WFA PCL COLLATERAL ACCOUNT
SANDEEP SARKAR
2760 PEMBERLY AVENEUE
HIGHLAND RANCH CO 80126

If you have more than one account with us, why not link them and receive summary information for your entire household? Contact Your Financial Advisor for more details.

Message from Wells Fargo Advisors

NOW IS A GOOD TIME TO REASSESS. CONNECT WITH YOUR FINANCIAL ADVISOR TO REVISIT YOUR GOALS AND REVIEW THE INVESTMENT PLAN YOU HAVE IN PLACE. BY TALKING THROUGH YOUR TOLERANCE FOR RISK, AND RUNNING A STRESS TEST ON YOUR PORTFOLIO, WE CAN MAKE ADJUSTMENTS THAT FEEL RIGHT FOR YOU.

General instructions and disclosures

About this statement

Clearing services: Wells Fargo Clearing Services, LLC (Wells Fargo Advisors), an indirect wholly owned subsidiary of Wells Fargo & Company, is a clearing broker-dealer registered with the Securities and Exchange Commission (SEC) and the Municipal Securities Rulemaking Board (MSRB) and is a member of the New York Stock Exchange (NYSE), the Financial Industry Regulatory Authority (FINRA) and all principal U.S. exchanges. Wells Fargo Advisors carries your account(s) and acts as your custodian for funds and securities deposited with us directly by you, or as a result of transactions we process for your account. Twice a year, Wells Fargo Advisors publishes on its web site **www.wfclearing.com** a statement of the firm's financial condition. A financial statement of this organization is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request.

Trade date statement and trade details: All activity and positions on this statement are shown as of the date a trade is entered on the brokerage trading system (i.e., the trade date). Proceeds from the sale of securities and costs for the purchase of securities are not transacted through your account until the actual settlement date of the trade. The time of the transactions, the name of the buyer or seller, and the source and amount of any commission or fee will be furnished upon written request.

Pricing of securities: Securities prices on your statement may vary from actual liquidation value. Prices are provided by outside quotation services which we believe are reliable but due to the nature of market data the accuracy cannot be guaranteed. In the absence of such pricing, prices are estimated by Wells Fargo Advisors using available information and its judgment. Such estimates may not reflect actual trades and do not reflect a commitment by the firm to buy or sell at those prices. Securities listed on a national exchange are priced as of the close of the statement period. Unlisted shares may be valued at the current best published "bid-price", and, if none exists, the last reported transaction if occurring within the last 45 days. Prices of securities not actively traded may not be available and are indicated by "N/A." Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, if less actively traded, by utilizing a yield-based matrix system to arrive at an estimated market value. Listed options are priced based on the closing "bid-ask" prices and the last reported trade. Mutual fund shares are priced at net asset value. Shares of direct participation program (DPP) and real estate investment trust (REIT) securities that are not listed on a national exchange are generally illiquid. Because no trading market exists for these investments, their values are estimated. Unless otherwise indicated, the values shown for DPP and REIT securities have been provided by the management of each program and represent that management's estimate of the investor's interest in the net assets of the program. See statement sections for additional pricing information. Prices for hedge funds and certain managed futures funds are provided on a month delay basis. Other managed futures funds may be priced more frequently. Long-term certificates of deposit (maturity beyond one year from date of issue) are priced using a market value pricing model. The sale or redemption price of your securities may be higher or lower than the prices shown on your statement. For an actual quote, contact the individual servicing your account.

Estimated annual income/yield: Estimated Annual Income (EAI), when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield (EAY), when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. EAI and EAY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EAY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate. The information used to derive these estimates is obtained from various outside vendors; Wells Fargo Advisors is not responsible for incorrect or missing estimated annual income and yields. Past performance is not a guarantee of future results.

Income summary: The Income summary displays all income as recorded in the tax system as of period end date. The totals in the Cash flow snapshot may not match the totals in the Income snapshot due to reclassifications or other corrections made in the tax system. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year-end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. You should rely only on tax reporting documents. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

Texas designation: If you are a resident of Texas who has purchased mutual fund shares, you may designate a representative to receive notification to assist in avoiding escheatment of assets in your investment account to the State of Texas. The designated representative does not have any rights to your account. Please use the Texas Unclaimed Property link (**https://claimittexas.org/**) to access the Designation of Representative for Notice Request form which you may complete and return to us at **ATTN: H0006-08K, 1 N. Jefferson Ave, St. Louis, MO 63103** or return by email at **clientcontact@firstclearing.com**.

Tax reporting: We are required by federal law to report annually to you and to the Internal Revenue Service (IRS) on Form(s) 1099 interest income, dividend payments and sales proceeds including cost basis information for applicable transactions credited to your account.

About your rights and responsibilities

Questions and complaints about Your Account: This account statement contains important information about your brokerage account, including recent transactions. All account statements sent to you shall be deemed complete and accurate if not objected to in writing within ten days of receipt. We encourage you to review the details in this statement. If you do not understand any of the information in your statement or if you believe there are any inaccuracies or discrepancies in your statement, you should promptly report them to the manager of the Wells Fargo Advisors office listed on the front of your statement. To further protect your rights, including any rights under the Securities Investor Protection Act, any verbal communications with Wells Fargo Advisors should be re-confirmed in writing. Inquiries or complaints about your account statement, including the positions and balances in your account, may be directed to **Wells Fargo Advisors Client Services at (866) 887-2402 or ATTN: H0005-087, 1 N. Jefferson Ave, St. Louis, MO 63103.**

Public disclosure: You may reach FINRA by calling the FINRA BrokerCheck Hotline at **(800) 289-9999** or by visiting the FINRA website at **www.finra.org.** An investor brochure that includes information describing FINRA BrokerCheck is available from FINRA upon request. A brochure describing the FINRA Pricing of Securities Regulation Public Disclosure Program is also available from the FINRA upon request.

MSRB disclosure: A brochure describing the protections available under MSRB rules and how to file a complaint is available at **www.MSRB.org**.

Account protection: Wells Fargo Advisors is a member of the Securities Investor Protection Corporation (SIPC) which protects against the loss of cash and securities held in client accounts of a SIPC member firm in the event of the member's insolvency and liquidation. SIPC coverage is limited to $500,000 per customer, including up to $250,000 for cash. For more information on SIPC coverage, please see the explanatory brochure at **www.sipc.org** or contact SIPC at **(202) 371-8300**. In addition, Wells Fargo Advisors maintains additional insurance coverage provided through London Underwriters (led by Lloyd's of London Syndicates). This additional insurance policy becomes available to clients if their SIPC limit is exhausted and provides additional protection up to a firm aggregate of $1 billion, including up to $1.9 million for cash per client. SIPC does not insure the quality of investments or protect against market losses. SIPC only protects the custody function of their members, which means that SIPC works to restore to clients their securities and cash that are in their accounts when the member firm liquidation begins. Not all investments are protected by SIPC. In general, SIPC does not cover instruments such as unregistered investment contracts, unregistered limited partnerships, fixed annuity contracts, escrow receipts, direct investments, currency, commodities or related contracts, hedge funds and certain other investments.

Investor education: Wells Fargo Advisors publishes on its web site **www.wellsfargoadvisors.com** information on topics of interest to investors as well as market commentary and economic analysis. Wells Fargo Advisors has also developed numerous investor education guides to provide you with important information regarding the products and services we offer. These guides may be found under the "Our Insights" tab.

Free credit balances: Free credit balances are not segregated and may be used by Wells Fargo Advisors in the operation of its business in accordance with applicable laws and regulations. You have the right to receive from us in the course of normal business operations, subject to any open commitments in any of your accounts, any free credit balances to which you are entitled.

Investment objectives/Risk tolerances: Please inform us promptly of any material change that might affect your investment objectives, risk tolerances or financial situation, or if you wish to impose or change any reasonable restrictions on the management of your account. A copy of the Investment Advisory Services Disclosure document is available without charge upon request. Please contact the individual denoted on the front of your statement to update your information and to receive a copy of this document.

Option accounts: Pursuant to FINRA Rule 2360, option assignment notices are randomly allocated by an automated process amongst all client short option positions that are subject to exercise, including positions established on the day of assignment. Transaction confirmations that were previously furnished to you provides information on commissions and other charges related to your option transaction executions. Details of our random allocation procedures and copies of transaction confirmations are available upon request.

WELLS FARGO ADVISORS

SNAPSHOT

[redacted]

WFA PCL COLLATERAL ACCOUNT
SANDEEP SARKAR

AUGUST 1, 2020 - AUGUST 31, 2020
ACCOUNT NUMBER: 7333-8189

Progress summary

	THIS PERIOD	THIS YEAR
Opening value	**$30,503.11**	**$51,001.06**
Cash deposited	0.00	1,972.00
Securities deposited	0.00	0.00
Cash withdrawn	0.00	-18,825.21
Securities withdrawn	0.00	0.00
Change in value	1,087.76	-2,556.98
Closing value	**$31,590.87**	**$31,590.87**

As a Wells Fargo Advisors client, you can upgrade your investment account to add Brokerage Cash Services at no additional cost. Brokerage Cash Services provides access to convenient money movement options including mobile deposit services. It also includes teller deposit services at Wells Fargo branch locations which are provided through a limited purpose Bank account. You'll have access to many more features and benefits to help you manage your finances. It's as simple as talking with Your Financial Advisor. Ask them today about Brokerage Cash Services.

Portfolio summary

	ASSET TYPE	PREVIOUS VALUE ON JUL 31	%	CURRENT VALUE ON AUG 31	%	ESTIMATED ANN. INCOME
ASSETS	Cash and sweep balances	0.00	0.00	0.00	0.00	0
	Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
	Fixed income securities	0.00	0.00	0.00	0.00	0
	Mutual funds	30,503.11	100.00	31,590.87	100.00	564
	Asset value	**$30,503.11**	**100%**	**$31,590.87**	**100%**	**$564**

WFA PCL COLLATERAL ACCOUNT
SANDEEP SARKAR

AUGUST 1, 2020 - AUGUST 31, 2020
ACCOUNT NUMBER: 7333-8189

Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	**$0.00**	
Income and distributions	0.00	111.79
Securities sold and redeemed	0.00	34,484.16
Electronic funds transfers	0.00	1,972.00
Net additions to cash	**$0.00**	**$36,567.95**
Securities purchased	0.00	-17,742.74
Advisory fees	0.00	-333.21
Other subtractions, transfers & charges	0.00	-18,492.00
Net subtractions from cash	**$0.00**	**-$36,567.95**
Closing value of cash and sweep balances	**$0.00**	

Income summary *

		THIS PERIOD	THIS YEAR
TAXABLE	Money market/sweep funds	0.00	0.02
	Ordinary dividends and ST capital gains	0.00	103.77
	Long term capital gains	0.00	2.03
	Total taxable income	**$0.00**	**$105.82**
	Total federally tax-exempt income	**$0.00**	**$0.00**
	Total income	**$0.00**	**$105.82**

* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

AUGUST 1, 2020 - AUGUST 31, 2020
ACCOUNT NUMBER: 7333-8189

Your Financial Advisor

ROBERT ROTHE
Phone: 303-792-7055 / 866-281-7436

9350 EAST ARAPAHOE ROAD
SUITE 401
GREENWOOD VILLAGE, CO 80112

Account profile

Full account name:	WFA PCL COLLATERAL ACCOUNT SANDEEP SARKAR
Account type:	Standard Brokerage
Brokerage account number:	7333-8189
Collateral pledged for account number:^	XXXX-3561
Tax status:	Taxable
Investment objective/Risk tolerance:*	AGGRESSIVE GROWTH
Time horizon:*	LONG TERM (10+ YEARS)
Liquidity needs:*	NONE
Cost Basis Election:	First in, First out
Your advisory program:	FUNDSOURCE
Your manager:	GO ASSET ALLOCATOR

*For more information, please visit us at: www.wellsfargoadvisors.com/disclosures

^This account has been pledged as collateral.

Client service information

Client service: 866-281-7436
Website: www.wellsfargoadvisors.com

For your consideration

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on to **wellsfargoadvisors.com** with your online access Username and Password, select **Statements & Docs**, and then click on the **Delivery Preferences** Quick Link. Choose **Electronic Delivery** to go paperless or select specific account documents for electronic delivery. If you do not have a Username and Password, visit **wellsfargoadvisors.com/signup** or call 1-866-281-7436 for enrollment assistance.

Document delivery status

Email Address: SANDEEPSARKAR01@GMAIL.COM

	Paper	Electronic
Statements:		X
Trade confirmations:		X
Tax documents:	X	
Shareholder communications:		X
Other documents:		X

WFA PCL COLLATERAL ACCOUNT
SANDEEP SARKAR

AUGUST 1, 2020 - AUGUST 31, 2020
ACCOUNT NUMBER: 7333-8189

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	0.00	34,484.16

Portfolio detail

Mutual Funds

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
FIRST EAGLE FUNDS FIRST EAGLE GLOBAL FUND CLASS I SGIIX	2.58500	58.3100	150.73	2	1.51
FORWARD FUNDS SALIENT TACTICAL GROWTH FUND INSTITUTIONAL CL I FTGWX	153.37900	28.9700	4,443.38	21	0.46
GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL FTIXX	189.43000	1.0000	189.43	0	0.06
INVESCO OPPENHEIMER GLOBAL FUND CLASS Y OGLYX	26.98300	107.2600	2,894.19	14	0.47
JENSEN PORTFOLIO INC CLASS I SHS JENIX	50.68100	55.9400	2,835.09	35	1.22
JPMORGAN TR I GLOBAL ALLOCATION FD I CL GAOSX	339.53700	20.1400	6,838.27	147	2.14
LAZARD FUNDS INC US EQUITY CONCENTRATED PORT INSTL SHS LEVIX	179.77800	16.8900	3,036.45	25	0.82

WFA PCL COLLATERAL ACCOUNT
SANDEEP SARKAR

AUGUST 1, 2020 - AUGUST 31, 2020
ACCOUNT NUMBER: 7333-8189

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
WELLS FARGO GLOBAL SMALL CAP FUNDS INSTL CLASS EKGIX	72.75600	39.8600	2,900.05	53	1.81
PIMCO ALL ASSET FND INST CL PAAIX	389.05100	11.5500	4,493.53	146	3.25
WELLS FARGO ABSOLUTE RETURN FUND CLASS INST WABIX	363.18000	10.4900	3,809.75	122	3.20
Total Open End Mutual Funds			**$31,590.87**	**$564**	**1.79**
Total Mutual Funds			**$31,590.87**	**$564**	**1.79**

*** THIS PAGE IS INTENTIONALLY LEFT BLANK ***